abstract

# 17-Gene Genomic Prostate Score Test Results in the Canary Prostate Active Surveillance Study (PASS) Cohort

Daniel W. Lin, MD<sup>1,2</sup>; Yingye Zheng, PhD<sup>3</sup>; Jesse K. McKenney, MD<sup>4</sup>; Marshall D. Brown, MS<sup>3</sup>; Ruixiao Lu, PhD<sup>5</sup>; Michael Crager, PhD<sup>5</sup>; Hilary Boyer, BS<sup>1,2</sup>; Maria Tretiakova, MD, PhD<sup>6</sup>; James D. Brooks, MD<sup>7</sup>; Atreya Dash, MD<sup>8</sup>; Michael D. Fabrizio, MD<sup>9</sup>; Martin E. Gleave, MD<sup>10</sup>; Suzanne Kolb, MPH<sup>1</sup>; Michael Liss, MD<sup>11</sup>; Todd M. Morgan, MD<sup>12</sup>; Ian M. Thompson, MD<sup>13</sup>;

Andrew A. Wagner, MD<sup>14</sup>; Athanasios Tsiatis, MD<sup>15</sup>; Andrea Pingitore, MD<sup>5</sup>; Peter S. Nelson, MD<sup>16</sup>; and Lisa F. Newcomb, PhD<sup>1,2</sup>

PURPOSE The 17-gene Onco*type* DX Genomic Prostate Score (GPS) test predicts adverse pathology (AP) in patients with low-risk prostate cancer treated with immediate surgery. We evaluated the GPS test as a predictor of outcomes in a multicenter active surveillance cohort.

**MATERIALS AND METHODS** Diagnostic biopsy tissue was obtained from men enrolled at 8 sites in the Canary Prostate Active Surveillance Study. The primary endpoint was AP (Gleason Grade Group  $[GG] \ge 3$ ,  $\ge$  pT3a) in men who underwent radical prostatectomy (RP) after initial surveillance. Multivariable regression models for interval-censored data were used to evaluate the association between AP and GPS. Inverse probability of censoring weighting was applied to adjust for informative censoring. Predictiveness curves were used to evaluate how models stratified risk of AP. Association between GPS and time to upgrade on surveillance biopsy was evaluated using Cox proportional hazards models.

**RESULTS** GPS results were obtained for 432 men (median follow-up, 4.6 years); 101 underwent RP after a median 2.1 years of surveillance, and 52 had AP. A total of 167 men (39%) upgraded at a subsequent biopsy. GPS was significantly associated with AP when adjusted for diagnostic GG (hazards ratio [HR]/5 GPS units, 1.18; 95% CI, 1.04 to 1.44; P = .030), but not when also adjusted for prostate-specific antigen density (PSAD; HR, 1.85; 95% CI, 0.99 to 4.19; P = .066). Models containing PSAD and GG, or PSAD, GG, and GPS may stratify risk better than a model with GPS and GG. No association was observed between GPS and subsequent biopsy upgrade (P = .48).

**CONCLUSION** In our study, the independent association of GPS with AP after initial active surveillance was not statistically significant, and there was no association with upgrading in surveillance biopsy. Adding GPS to a model containing PSAD and diagnostic GG did not significantly improve stratification of risk for AP over the clinical variables alone.

J Clin Oncol 38. © 2020 by American Society of Clinical Oncology

## **INTRODUCTION**

Active surveillance (AS) is recognized as the preferred management strategy for men diagnosed with low-risk prostate cancer (PCa).<sup>1</sup> However, widespread adoption of AS has been tempered,<sup>2,3</sup> in part, because of uncertainty about the possibility of occult aggressive cancer. There also may be a small but significant number of men with apparent low-risk disease who experience disease progression during monitoring who might benefit from immediate treatment.<sup>4</sup> Additionally, optimal surveillance schedules and triggers for intervention have not yet been established,<sup>5</sup> resulting in substantial variation in the practice of AS.<sup>6</sup> Biomarkers that improve stratification of risk for harboring or progressing to high-grade, high-stage PCa could improve both the use and practice of AS.

The biopsy-based 17-gene Onco*type* DX Genomic Prostate Score (GPS; Genomic Health, Redwood City,

CA) test has been shown to predict adverse surgical pathology and recurrence in men diagnosed with lowand intermediate-risk PCa treated with immediate surgery.<sup>7-10</sup> It has been used as a tool to inform the decision making of immediate treatment versus AS in men newly diagnosed with low- or favorable intermediate-risk PCa and was recently included in National Comprehensive Cancer Network (NCCN) guidelines.<sup>11</sup> However, studies of the predictive value of the GPS test in men initially managed with AS have been limited.

In the current study, we used a multicenter AS cohort to examine the association of GPS results with outcomes relevant to AS. We examined the association of GPS with adverse pathology (AP) in men who had surgery after initial management with AS. We also evaluated whether GPS was associated with upgrading at surveillance biopsy. Importantly, we assessed the association of GPS with adverse outcome when

## ASSOCIATED Content

## **Data Supplement**

Author affiliations and support information (if applicable) appear at the end of this article. Accepted on January 28, 2020 and

published at ascopubs.org/journal/ jco on March 4, 2020: D0I https://doi.org/10. 1200/JC0.19.02267



adjusted for commonly available clinical variables. We used a prospective-retrospective study design<sup>12</sup> to evaluate GPS at initial diagnosis as part of the Canary Prostate Active Surveillance Study (PASS).

## MATERIALS AND METHODS

Canary PASS (ClinicalTrials.gov identifier: NCT00756665) is a prospective cohort enrolling men eligible for AS who provide informed consent under institutional review board supervision.<sup>13,14</sup> In PASS, prostate-specific antigen (PSA) is measured every 3 months, clinic visits occur every 6 months, and ultrasound-guided biopsies are performed 6-12 and 24 months after diagnosis, then every 2 years; 76% of the biopsies were per protocol.<sup>15</sup> Other tests, including magnetic resonance imaging (MRI), are performed at the clinician's discretion. For the current study, tissue blocks from the initial diagnostic biopsy were collected from men enrolled between 2008 and 2016 at 8 PASS sites (Beth Israel Deaconess Medical Center, Eastern Virginia Medical School, Stanford University, University of British Columbia, University of Michigan, University of Texas Health Sciences Center San Antonio, University of Washington, Veterans Affairs Puget Sound Health Care Systems). Participants were excluded if they received treatment within 6 months of diagnosis, diagnostic PSA > 20 ng/mL, < 6 cores in the diagnostic biopsy, or Gleason Grade Group (GG)  $\geq$  3 on central pathology review of the diagnostic biopsy.

## Pathology and Assay Methods

Fixed paraffin-embedded biopsy tissue from initial diagnosis was collected. Hematoxylin and eosin-stained slides from radical prostatectomies (RPs) and recuts of the diagnostic biopsy tissue were centrally reviewed by 1 uropathologist (J.K.M.) blinded to clinical outcomes and using the 2016 International Society of Urologic Pathology Consensus guidelines.<sup>16</sup> Local pathology data were used for surveillance biopsies. Unstained biopsy tissue sections were manually microdissected, and the GPS assay was performed at Genomic Health Laboratory as previously described.<sup>7,17</sup> GPS testing was performed retrospectively, and treating physicians were blinded to the GPS results.

## **Statistical Analysis**

The statistical analyses were delineated in a prespecified statistical analysis plan. The primary objective of this study was to evaluate the association between the GPS result and AP in the subset of AS patients who underwent RP after a period of surveillance, after adjusting for diagnostic GG. AP was defined as Gleason  $GG \ge 3$  and/or  $\ge pT3a$  and/or N1. Key secondary objectives were to (1) evaluate the association between GPS and AP after adjusting for important clinical and pathologic features and (2) evaluate the association between GPS and reclassification, or upgrading, on surveillance biopsy. Reclassification was defined as any increase in biopsy GG from the diagnostic GG; major upgrading was defined as an increase to GG

 $\geq$  3. Follow-up clinical data were collected through February 2018. Other covariables considered in modeling were age (continuous or > 65 v  $\leq$  65 years), race (nonwhite v white), diagnostic Gleason GG (1 or 2), ratio of positive/ total biopsy cores, log (PSA), log (prostate size) or log<sub>2</sub> PSA density (PSAD), cT stage, body mass index (BMI; kg/m<sup>2</sup>), family history of PCa (yes/no), and year of diagnosis.

GPS and AP at RP after period of surveillance. The association between GPS and AP was assessed in the 101 participants who had RP. Time to AP was interval censored between diagnosis and time of RP if AP was observed on RP or right censored at time of RP if AP was not observed. Parametric survival models for intervalcensored data with Weibull distribution were used, with inverse probability of censoring weighting applied to adjust for informative censoring<sup>18</sup> (Data Supplement). Variance estimation was based on 1,000 bootstrap replications drawn before data lock. Hazard ratios (HRs) were estimated from the fitted Weibull distribution parameters and reported for continuous GPS per 20-unit and 5-unit increase, which is approximately the difference between the median and first quartile. CIs were derived using the bootstrap quantile method. An individual's estimated risk of AP within 2 years given GPS and/or other factors was calculated based on the fitted risk models. The distribution of risks was shown by the predictiveness curve<sup>19</sup>: the risks were ordered from lowest to highest and their values were plotted. Because of the limited sample size for the primary outcome, we did not further evaluate the discriminatory performance of the prediction model.

**GPS and upgrading at surveillance biopsy.** The association between GPS at diagnosis and the biopsy reclassification was modeled in the full cohort of 432 participants using Cox proportional hazards models, stratified by enrollment before or after the first surveillance biopsy. Participants without reclassification were censored at date of last study contact, treatment, or 2 years after last biopsy, whichever came first. The proportional hazards assumptions were tested with the Schoenfeld residuals.<sup>20</sup> A 2-sided *P* value < .05 was considered significant for all analyses, which were performed using SAS version 9.4 (SAS Institute, Cary, NC) or R version 3.3.0.

## RESULTS

## **Study Population**

Among 1,041 Canary PASS participants using AS, 634 (61%) had available tissue from their diagnostic biopsy (Fig 1). Of these, 7 (1%) did not meet inclusion criteria, 174 (27%) had insufficient residual tumor tissue for GPS testing, 10 (2%) had Gleason GG  $\geq$  3 on central pathology review, and 11 (2%) had insufficient RNA quality, resulting in 432 (68%) with a valid GPS result. Of the 432 with GPS results, 106 (25%) underwent RP; 77 (73%) had RP after biopsy upgrade, and 29 (27%) had surgery with no biopsy



**FIG 1.** CONSORT diagram detailing the study cohort. (\*) Treatment within 6 months of diagnosis; diagnostic prostatespecific antigen > 20 ng/mL; < 6 cores in the diagnostic biopsy. AS, active surveillance; PASS, Prostate Active Surveillance Study; GG, Gleason Grade Group; GPS, Genomic Prostate Score; RP, radical prostatectomy.

upgrade during initial surveillance. After excluding 5 participants with no RP slides available for central pathology review, 101 participants were available for evaluation of the AP endpoint.

Participant characteristics at diagnosis were similar for the 634 participants for which tissue blocks could be obtained and the 432 fully evaluable participants compared with the full Canary PASS (Table 1.) Median followup in participants with no reclassification at biopsy was 4.6 years (interquartile range [IQR], 2.9-6.2 years) with 167 (39%) who experienced upgrading at a surveillance biopsy, 51 (12%) to Gleason GG  $\geq$  3. Median time from diagnosis to surgery was 2.1 years (IQR, 1.3-4.3 years), and 52 men (51%) had AP at surgery with the pathologic features shown in the Data Supplement. Median GPS result in the full cohort (N = 432) was 21 (IQR, 15.4-27.3; range, 0-67) and 20.5 (IQR, 14.6-27.3; range, 6-67) in the RP cohort (n = 101).

 TABLE 1. Participant Characteristics at Diagnosis

Chavastavistis	Enrolled Through Feb 2016 (n = 1.041)	Available FFPE Blocks (n = 624)	With GPS Result $(N - 422)$	Radical Prostatectomy
	(II = 1,041)	(II = 634)	(N = 432)	(II = I0I)
	N/A	N/A	21.0 (13.4-27.3)	20.3 (14.6-27.3)
Age, years (IQR)	63 (58-67)	63 (59-67)	63 (59-67)	62 (57-65)
Race				
Asian	25 (2)	18 (3)	12 (3)	5 (5)
Black	74 (7)	36 (6)	24 (6)	5 (5)
Other	11 (1)	8 (1)	6 (1)	0
White	931 (89)	572 (90)	390 (90)	91 (90)
Hispanic ethnicity	42 (4)	28 (4)	19 (4)	3 (3)
BMI (kg/m <sup>2</sup> ; IQR)	27 (25-30)	27 (25-30)	27 (25-30)	27 (25-30)
Year of diagnosis (IQR)	2011 (2009-2012)	2011 (2009-2013)	2011 (2009-2013)	2011 (2009-2013)
Family history of PCa (first degree)	284 (27)	165 (26)	109 (25)	25 (25)
T-stage				
T1	929 (89)	573 (90)	385 (89)	91 (90)
T2a	103 (10)	56 (9)	42 (10)	10 (10)
T2b	7 (1)	4 (1)	4 (1)	0
T2c	2 (< 1)	1 (< 1)	1 (< 1)	0
Diagnosis biopsy Gleason score <sup>a</sup>				
GG 1	958 (92)	584 (92)	374 (87)	81 (80)
GG 2	77 (7)	46 (7)	58 (13)	20 (20)
GG 3	6 (1)	4 (1)	0	0
Percent of positive biopsy cores (IQR)	8.3 (8.3-16.7)	10.0 (8.3-16.7)	12.5 (8.3-16.7)	16.7 (8.3-21.4)
PSA (ng/mL; IQR)	5.0 (3.8-6.5)	4.9 (3.8-6.6)	4.8 (3.7-6.5)	4.8 (4.1-6.1)
Prostate size (cm <sup>3</sup> ; IQR)	43 (31-59)	42 (31-58)	40 (31-57)	35 (26-47)
PSA density (ng/cm <sup>3</sup> ; IQR)	0.11 (0.08-0.16)	0.11 (0.08-0.16)	0.11 (0.08-0.15)	0.14 (0.10-0.19)
NCCN risk group <sup>b</sup>				
Very low	470 (45)	310 (49)	202 (47)	37 (37)
Low	411 (39)	228 (36)	142 (33)	39 (39)
Intermediate	160 (15)	96 (15)	88 (20)	25 (25)

NOTE. Data presented as No. (%) unless otherwise indicated.

Abbreviations: BMI, body mass index; FFPE, formalin-fixed paraffin-embedded; GG, Gleason Grade Group; GPS, Genomic Prostate Score; IQR, interquartile range; N/A, not available; NCCN, National Comprehensive Cancer Network; PCa, prostate cancer; PSA, prostate-specific antigen.

<sup>a</sup>Biopsy Gleason score for entire Prostate Active Surveillance Study cohort and available FFPE blocks by clinical site pathology report, and Gleason score of patients with GPS and RP by central review.

<sup>b</sup>NCCN risk group determined for entire Prostate Active Surveillance Study cohort and available FFPE blocks using Gleason score from clinical site review of diagnostic biopsy and for patients with GPS and RP using Gleason score from central review.

## AP at RP After a Period of AS

In univariable analysis of the 101 men who had RP, GPS was not significantly associated with AP (HR, 1.14; 95% CI, 1.00 to 1.34; P = .062); PSAD was the only variable significantly associated with AP (both log-transformed; P = .017; and per 0.1 unit; P = .025; Table 2). In bivariable analysis, GPS was significantly associated with AP when adjusted for diagnostic GG (Table 3; HR, 1.18; 95% CI, 1.04 to 1.44; P = .030). In multivariable models that

included PSAD and diagnostic GG, GPS did not reach statistical significance (HR, 1.17; 95% CI, 1.00 to 1.43; P = .066), whereas log<sub>2</sub> PSAD was significantly associated with AP (HR, 1.75; 95% CI, 1.11 to 3.21; P = .025). Results were similar in a sensitivity analysis of only those with GG 1 at diagnosis (data not shown).

Models containing PSAD and diagnostic GG, with or without GPS, may stratify risk of AP better than a model with only GG and GPS (Fig 2). For example, given an AP within 2-year

Variable	Adverse Pathology HR (95% CI) (n = 101)	Р	Biopsy Upgrade HRª (95% Cl) (N = 432)	P
GPS (per 5 units)	1.14 (1.00 to 1.34)	.062	1.00 (0.93 to 1.08)	.93
GPS (per 20 units)	1.70 (1.01 to 3.26)	.062	1.02 (0.75 to 1.38)	.93
Age (per year)	1.01 (0.95 to 1.05)	.84	1.00 (0.97 to 1.02)	.70
Age > 65 $v \le$ 65, years	1.07 (0.49 to 2.16)	.85	0.85 (0.61 to 1.17)	.31
Nonwhite v white race	0.94 (0.26 to 2.58)	.98	1.12 (0.68 to 1.85)	.66
Gleason GG 2 v 1	0.85 (0.34 to 1.77)	.68	0.70 (0.37 to 1.34)	.29
Percent of positive cores	1.02 (0.99 to 1.05)	.29	1.04 (1.02 to 1.05)	< .001
Log PSA	1.65 (0.79 to 4.29)	.21	1.14 (0.88 to 1.46)	.32
Log prostate size	0.61 (0.24 to 1.45)	.29	0.44 (0.32 to 0.61)	< .001
PSA density (per 0.1 ng/mL <sup>2</sup> )	1.69 (1.13 to 3.07)	.025	1.16 (1.08 to 1.25)	< .001
Log <sub>2</sub> PSA density	1.78 (1.14 to 3.11)	.017	1.52 (1.29 to 1.79)	< .001
Clinical stage T2 v T1	2.48 (0.92 to 13.80)	.14	0.97 (0.59 to 1.61)	.92
BMI (kg/m²)	1.05 (0.96 to 1.13)	.24	1.04 (1.00 to 1.07)	.049
Family history of PCa	0.81 (0.38 to 1.59)	.57	1.12 (0.79 to 1.59)	.52
Diagnosis year (per year)	1.09 (0.98 to 1.25)	.15	1.14 (1.05 to 1.24)	.002

**TABLE 2.** Univariable HRs for Association of Variables at Diagnosis With AP in Men Who Had Radical Prostatectomy (n = 101) After a Period of Surveillance and Biopsy Upgrade in Men Using AS (N = 432)

Abbreviations: AP, adverse pathology; AS, active surveillance; BMI, body mass index; GG, Gleason Grade Group; GPS, Genomic Prostate Score; HR, hazard ratio; PCa, prostate cancer; PSA, prostate-specific antigen.

<sup>a</sup>Univariable HRs are shown using enrollment before or after the first surveillance biopsy as a stratification variable.

prevalence of 49% in the cohort, if patients with a risk level exceeding 70% are considered as high risk, models with GPS, PSAD, and diagnostic GG or with PSAD and GG put 16% (95% CI, 3% to 31%) and 8% (95% CI, 0% to 30%) of patients, respectively, at or above a threshold of 70% risk of AP within 2 years, whereas GPS adjusted for GG put only 4% (95% CI, 0% to 21%) of patients in the high-risk range.

## Upgrading at Surveillance Biopsy

In univariable analysis of the 432 men on AS, GPS was not associated with upgrade at surveillance biopsy (HR, 1.00; 95% CI, 0.93 to 1.08; P = .93), whereas % positive biopsy cores, prostate volume, PSAD, BMI, and year of diagnosis

TABLE 3.	Multivariable	Models for	Adverse	Pathology	(n =	101)

Variable	HRª (95% CI)	Р
Model 1		
GPS (per 5 units)	1.18 (1.04 to 1.44)	.030
Gleason GG 2 v 1	0.62 (0.24 to 1.33)	.26
Model 2		
GPS (per 5 units)	1.17 (1.00 to 1.43)	.066
Gleason GG 2 v 1	0.61 (0.24 to 1.24)	.24
Log <sub>2</sub> PSA density	1.75 (1.11 to 3.21)	.025

Abbreviations: GG, Gleason Grade Group; GPS, Genomic Prostate Score; HR, hazard ratio; PSA, prostate-specific antigen.

<sup>a</sup>Log hazard ratio = regression parameter × Weibull shape parameter. Cls calculated using the bootstrap quantile method.

were significantly associated with reclassification (Table 2). In a multivariable model including GPS, % positive biopsy cores, PSAD, and year of diagnosis, there was no significant association of GPS with upgrading in the cohort of 432 patients or in a subset including only the 395 men initially diagnosed with GG 1 cancer (adjusted HR, 0.97; 95% CI, 0.90 to 1.05; P = .48; or HR, 0.99; 95% CI, 0.92 to 1.07; P = .81, respectively; Table 4). Similar results were observed for a sensitivity analysis using an endpoint of major upgrade (to GG  $\geq$  3) on surveillance biopsy (Data Supplement). No significant departure from the proportional hazards assumption was found.

## DISCUSSION

In this multicenter AS study, we evaluated the ability of the GPS test, performed on the biopsy tissue from initial diagnosis, to predict AP and biopsy upgrading for men initially managed with AS, and we evaluated the performance of the GPS test in the context of commonly available clinical variables that have been reported to predict outcomes in AS.<sup>14,21-24</sup> We showed GPS was associated with AP when adjusted for diagnostic GG. However, GPS was not significantly associated with AP after adjustment for diagnostic GG and PSAD. Notably, GPS was not associated with biopsy upgrade on subsequent surveillance biopsy.

We recognize that a larger study may result in smaller CIs and a significant independent association of GPS with AP, although we also anticipate that clinical parameters, such



**FIG 2.** Predictiveness curves for risk of adverse pathology (AP) at 2 years, in which the cumulative percentage of the cohort at risk is plotted against the risk estimated from models. The solid gray line shows the prevalence of AP. The dashed black line shows a risk threshold of  $\geq$  70% for high risk of AP with triangles at the percent of the population stratified as high risk at that threshold. For well-calibrated models, the model with a curve further away from the prevalence line may be helpful for more individuals in making an unequivocal decision on active surveillance (AS). GG, Gleason Grade Group; GPS, Genomic Prostate Score; PSA, prostate-specific antigen.

as PSAD, would continue to significantly improve the predication of AP in modeling. Indeed, a single-center study was recently performed in which the association of GPS with AP was evaluated in 215 patients who were initially managed with AS.<sup>25</sup> In this study, GPS was an independent predictor of AP, with a statistically significant HR that was similar to our present report. However, that single-center study not only combined GPS results from a research study that included central pathology review with GPS results from routine clinical practice, but also used GPS test results from either diagnostic biopsy samples or subsequent surveillance biopsies. Notably, strong predictors of AP were study group (ie, research study v clinical care samples) and whether the test was performed on diagnostic versus subsequent surveillance biopsy tissue, suggesting that caution be exercised when interpreting their results because of potential bias introduced in study design.

GPS has been shown to be an independent predictor of AP and biochemical recurrence in patients with low- and intermediate-risk PCa who were treated with immediate surgery.<sup>7,8</sup> The test is covered by Medicare, and guidelines state that molecular tests such as the GPS assay may be considered in men with localized disease as a decision aid for patients considering immediate treatment versus AS.<sup>11</sup> To our knowledge, this is the first study to address performance of the GPS test in a prospectively accrued AS cohort in which all available residual tissue from the initial diagnostic biopsy was collected, with endpoints that included both AP at surgery and upgrading on surveillance biopsy, the latter of which is arguably the most actionable endpoint in management of AS patients. Importantly, the characteristics of the participants with available tissue at diagnosis were similar to those in the full PASS cohort, suggesting a representative set was used for the present analyses.

We addressed the potential clinical utility of the GPS test using predictiveness curves.<sup>19</sup> Risk models that included PSAD and diagnostic GG, or PSAD, GG, and GPS stratified more men as being at high risk for having AP than a model with only GPS and GG, suggesting that the GPS test, or any molecular diagnostic, should be used in combination with other clinical variables, such as PSAD. Our study is one of the first to consider GPS in the context of PSAD or prostate volume, which are only incorporated in the commonly used NCCN guidelines as part of the definition of very low risk. Although our study was not designed to address clinical utility, our results do suggest that risk models including PSAD and other clinical variables may stratify patients at high risk for AP better than GPS alone.

There is no indication that GPS is associated with upgrading or major upgrading on surveillance biopsy. It is possible that GPS detects tumor biology associated with substantially more aggressive disease but does not detect minor changes in Gleason score (eg, GG1 to GG2). Furthermore, undersampling of prostate biopsy is well established, with significantly more upgrading than downgrading at RP (Data Supplement), and GPS may have an association with major upgrading at surgery. Our findings contrast with recently published single-center results in which GPS tests performed in the setting of clinical practice were shown to have a significant association with biopsy upgrade.<sup>26</sup> However,

TADLE 4. WUULIVAIIADIE AIIAIYSIS	s ior biopsy opgrade			
	Full Cohort (No. of events: 167 of 432)		Diagnosis With Gleason 6 (No. of events 157 of 395)	
Variable	HR (95% CI)	Р	HR (95% CI)	Р
GPS (per 5 units)	0.97 (0.90 to 1.05)	.48	0.99 (0.92 to 1.07)	.81
Log <sub>2</sub> PSA density	1.44 (1.21 to 1.71)	< .001	1.45 (1.21 to 1.72)	< .001
Percent of positive cores	1.03 (1.02 to 1.04)	< .001	1.04 (1.02 to 1.05)	< .001
Year of diagnosis	1.13 (1.04 to 1.23)	.003	1.11 (1.03 to 1.21)	.010

## TABLE 4. Multivariable Analysis for Biopsy Upgrade

Abbreviations: GPS, Genomic Prostate Score; HR, hazard ratio; PSA, prostate-specific antigen.

data from that single institution were retrospectively abstracted from clinical records, the GPS tests were performed on diagnostic and surveillance biopsies within 5 years of diagnosis from patients who were chosen at the treating provider's discretion, and the intensity of monitoring could have been tailored to clinical characteristics, including the knowledge of the GPS result, all of which may introduce selection and ascertainment bias.

Strengths of this study include that it used a prospectiveretrospective design in a multicenter AS cohort with a defined surveillance protocol using tissue from original diagnostic biopsy tissue, a design that eliminates many potential sources of bias. Central pathology review was performed on all the diagnostic biopsies and RP tissues for the primary endpoint. Additionally, statistical modeling included all clinical and pathologic factors that are readily available in routine patient care. There are, however, limitations to this study that should be noted. First, the sample size for the AP endpoint was small. The study was designed with a higher number of AP events, but was limited in part because of the higher than expected rate of patients with insufficient tumor for molecular testing, likely due to smaller volume tumors in men on AS compared with men undergoing immediate prostatectomy. We focused on studying associations, given the smaller than anticipated sample size. Second, this study does not address the discriminatory performance of prediction models including GPS or validate the use of GPS testing in clinical care. Such validation would require an independent cohort, with likely a much larger sample size than the current study, in which GPS is found to be statistically significant in a multivariable model with other significant clinical and pathologic covariables, and performance of models with and without GPS can be compared. Third, MRI imaging was variably performed in this cohort, primarily because many patients entered the study before widescale multiparametric MRI use. Approximately 28% of the cohort described here had undergone MRI imaging, with a similar distribution of use between those who reclassified by biopsy and those who did not. An analysis of MRI in PASS is forthcoming and consistent with publications that report modest sensitivity and applicability of MRI in AS.<sup>27,28</sup> Fourth, PCa is known to be heterogeneous, and any biopsy-based test may not adequately sample all tumors.<sup>29</sup>

Despite these limitations, our findings have important implications for the use of tissue-based molecular markers for risk assessment in early-stage PCa. First, multiple clinical and pathologic features are known to contribute to the prediction of outcomes in men managed with AS, and together, provide a robust base model. For a new molecular marker to be clinically useful in this setting, it should add significant predictive power to these clinicopathologic models. Specifically, no factor can or should be used in isolation and should instead be used within the context of a risk model or tool that encompasses all known clinical and pathologic parameters. Second, low-volume disease in typical AS patients and insufficient RNA for molecular analyses in the current study represent a potential limitation of tissue-based genomic assays in this population. Third, our study was not designed to address optimal use of GPS or variables in clinical practice, and additional studies are needed to evaluate the incremental value of GPS relative to other factors for clinical utility in risk stratification and in cost-benefit calculations.

In conclusion, this multicenter cohort of men using AS, GPS was significantly associated with AP when adjusted for diagnostic GG, but not when adjusted for GG and PSAD. Adding GPS to a model containing PSAD and diagnostic GG did not significantly improve stratification of risk for AP over the clinical variables alone. GPS was not associated with upgrading in surveillance biopsies during AS, and previously described clinical variables (PSAD and % positive biopsy cores) remained significantly associated with upgrading.

## AFFILIATIONS

<sup>1</sup>Cancer Prevention Program, Public Health Sciences, Fred Hutchinson Cancer Research Center, Seattle, WA

<sup>2</sup>Department of Urology, University of Washington, Seattle, WA

- <sup>3</sup>Biostatistics Program, Public Health Sciences, Fred Hutchinson Cancer Research Center, Seattle, WA
- <sup>4</sup>Robert J. Tomsich Pathology and Laboratory Medicine Institute, Cleveland Clinic, Cleveland, OH

<sup>5</sup>Genomic Health, Redwood City, CA

<sup>6</sup>Department of Pathology, University of Washington, Seattle, WA <sup>7</sup>Department of Urology, Stanford University, Stanford, CA

<sup>8</sup>Veterans Affairs Puget Sound Health Care Systems, Seattle, WA
<sup>9</sup>Department of Urology, Eastern Virginia Medical School, Virginia Beach, VA

<sup>10</sup>Department of Urologic Sciences, University of British Columbia, Vancouver, British Columbia, Canada

 $^{11}\mbox{Department}$  of Urology, University of Texas Health Sciences Center, San Antonio, TX

- <sup>12</sup>Department of Urology, University of Michigan, Ann Arbor, MI
- <sup>13</sup>Christus Medical Center Hospital, San Antonio, TX

<sup>14</sup>Division of Urology, Beth Israel Deaconess Medical Center, Boston, MA
<sup>15</sup>Plexxikon, Berkely, CA

<sup>16</sup>Division of Human Biology and Clinical Research, Fred Hutchinson Cancer Research Center, Seattle, WA

## **CORRESPONDING AUTHOR**

Daniel W. Lin, MD, Department of Urology, University of Washington, 1959 NE Pacific St, Box 356510, Seattle, WA 98195; e-mail: dlin@ uw.edu.

## **PRIOR PRESENTATION**

Presented as a poster at the 2019 American Society of Clinical Oncology Genitourinary Cancers Symposium, San Francisco, CA, February 14-16, 2019.

Journal of Clinical Oncology

## **SUPPORT**

Supported by the Canary Foundation, Department of Defense (W81XWH1410595), National Institutes of Health (R01 CA184712; R01 CA181605; P50CA097186), and Genomic Health.

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST AND DATA AVAILABILITY STATEMENT

Disclosures provided by the authors and data availability statement (if applicable) are available with this article at DOI https://doi.org/10.1200/JC0.19.02267.

## **AUTHOR CONTRIBUTIONS**

**Conception and design:** Daniel W. Lin, Yingye Zheng, Jesse K. McKenney, James D. Brooks, Martin E. Gleave, Ian M. Thompson, Athanasios Tsiatis, Peter S. Nelson, Lisa F. Newcomb

Financial support: Daniel W. Lin, Peter S. Nelson, Lisa F. Newcomb Administrative support: Hilary Boyer

Provision of study materials or patients: Daniel W. Lin, James D. Brooks, Atreya Dash, Michael D. Fabrizio, Michael Liss, Ian M. Thompson

**Collection and assembly of data:** Daniel W. Lin, Jesse K. McKenney, Ruixiao Lu, Hilary Boyer, Maria Tretiakova, James D. Brooks, Atreya Dash, Michael D. Fabrizio, Martin E. Gleave, Suzanne Kolb, Michael Liss, Ian M. Thompson, Andrew A. Wagner, Athanasios Tsiatis, Andrea Pingitore, Lisa F. Newcomb

Data analysis and interpretation: Daniel W. Lin, Yingye Zheng, Jesse K. McKenney, Marshall D. Brown, Ruixiao Lu, Michael Crager, Hilary Boyer, Martin E. Gleave, Michael Liss, Todd M. Morgan, Todd M. Morgan, Lisa F. Newcomb

Manuscript writing: All authors Final approval of manuscript: All authors Accountable for all aspects of the work: All authors

### ACKNOWLEDGMENT

The authors acknowledge the Canary Investigators and Study Coordinators at all Prostate Active Surveillance Study clinical sites. The authors also express gratitude to Matthew Cooperberg at University of California San Francisco for assistance with protocol development, Mike Garcia and Kehao Zhu at Fred Hutchinson Cancer Research Center for assistance with statistical analyses, and Heidi Auman at Canary Foundation for assistance with managing this multicenter study.

## REFERENCES

- Sanda MG, Cadeddu JA, Kirkby E, et al: Clinically localized prostate cancer: AUA/ASTRO/SUO guideline. Part I: Risk stratification, shared decision making, and care options. J Urol 199:683-690, 2018
- Ganz PA, Barry JM, Burke W, et al: National Institutes of Health State-of-the-Science Conference: Role of active surveillance in the management of men with localized prostate cancer. Ann Intern Med 156:591-595, 2012
- 3. Cooperberg MR, Carroll PR: Trends in management for patients with localized prostate cancer, 1990-2013. JAMA 314:80-82, 2015
- 4. Hamdy FC, Donovan JL, Lane JA, et al: 10-year outcomes after monitoring, surgery, or radiotherapy for localized prostate cancer. N Engl J Med 375:1415-1424, 2016
- Chen RC, Rumble RB, Loblaw DA, et al: Active surveillance for the management of localized prostate cancer (Cancer Care Ontario Guideline): American Society
  of Clinical Oncology clinical practice guideline endorsement. J Clin Oncol 34:2182-2190, 2016
- Luckenbaugh AN, Auffenberg GB, Hawken SR, et al: Variation in guideline concordant active surveillance followup in diverse urology practices. J Urol 197: 621-626, 2017
- Klein EA, Cooperberg MR, Magi-Galluzzi C, et al: A 17-gene assay to predict prostate cancer aggressiveness in the context of Gleason grade heterogeneity, tumor multifocality, and biopsy undersampling. Eur Urol 66:550-560, 2014
- Cullen J, Rosner IL, Brand TC, et al: A biopsy-based 17-gene genomic prostate score predicts recurrence after radical prostatectomy and adverse surgical pathology in a racially diverse population of men with clinically low- and intermediate-risk prostate cancer. Eur Urol 68:123-131, 2015
- Van Den Eeden SK, Lu R, Zhang N, et al: A biopsy-based 17-gene genomic prostate score as a predictor of metastases and prostate cancer death in surgically treated men with clinically localized disease. Eur Urol 73:129-138, 2018
- Eggener S, Karsh LI, Richardson T, et al: A 17-gene panel for prediction of adverse prostate cancer pathologic features: Prospective clinical validation and utility. Urology 126:76-82, 2019
- 11. Mohler JL, Antonarakis ES, Armstrong AJ, et al: Prostate Cancer, Version 2.2019, NCCN clinical practice guidelines in oncology. J Natl Compr Canc Netw 17: 479-505, 2019
- 12. Simon RM, Paik S, Hayes DF: Use of archived specimens in evaluation of prognostic and predictive biomarkers. J Natl Cancer Inst 101:1446-1452, 2009
- Newcomb LF, Brooks JD, Carroll PR, et al: Canary Prostate Active Surveillance Study: Design of a multi-institutional active surveillance cohort and biorepository. Urology 75:407-413, 2010
- 14. Newcomb LF, Thompson IM Jr, Boyer HD, et al: Outcomes of active surveillance for clinically localized prostate cancer in the prospective, multi-institutional Canary PASS cohort. J Urol 195:313-320, 2016
- 15. Cooperberg MR, Brooks JD, Faino AV, et al: Refined analysis of prostate-specific antigen kinetics to predict prostate cancer active surveillance outcomes. Eur Urol 74:211-217, 2018
- Egevad L, Delahunt B, Srigley JR, et al: International Society of Urological Pathology (ISUP) grading of prostate cancer–An ISUP consensus on contemporary grading. APMIS 124:433-435, 2016
- 17. Knezevic D, Goddard AD, Natraj N, et al: Analytical validation of the Oncotype DX prostate cancer assay: A clinical RT-PCR assay optimized for prostate needle biopsies. BMC Genomics 14:690, 2013
- 18. Robins JM, Finkelstein DM: Correcting for noncompliance and dependent censoring in an AIDS clinical trial with inverse probability of censoring weighted (IPCW) log-rank tests. Biometrics 56:779-788, 2000
- 19. Pepe MS, Feng Z, Huang Y, et al: Integrating the predictiveness of a marker with its performance as a classifier. Am J Epidemiol 167:362-368, 2008
- 20. Kalbfleisch JD, Prentice RL: The Statistical Analysis of Failure Time Data (ed 2). Hoboken, NJ, John Wiley & Sons, 2011
- 21. Jain S, Loblaw A, Vesprini D, et al: Gleason upgrading with time in a large prostate cancer active surveillance cohort. J Urol 194:79-84, 2015
- 22. Bul M, van den Bergh RC, Rannikko A, et al: Predictors of unfavourable repeat biopsy results in men participating in a prospective active surveillance program. Eur Urol 61:370-377, 2012
- Welty CJ, Cowan JE, Nguyen H, et al: Extended followup and risk factors for disease reclassification in a large active surveillance cohort for localized prostate cancer. J Urol 193:807-811, 2015

- 24. Mamawala MM, Rao K, Landis P, et al: Risk prediction tool for grade re-classification in men with favourable-risk prostate cancer on active surveillance. BJU Int 120:25-31, 2017
- 25. Kornberg Z, Cooperberg MR, Cowan JE, et al: A 17-gene Genomic Prostate Score as a predictor of adverse pathology for men on active surveillance. J Urol 202: 702-709, 2019
- 26. Kornberg Z, Cowan JE, Westphalen AC, et al: Genomic Prostate Score, PI-RADS version 2 and progression in men with prostate cancer on active surveillance. J Urol 201:300-307, 2019
- 27. Klotz L, Loblaw A, Sugar L, et al: Active Surveillance Magnetic Resonance Imaging Study (ASIST): Results of a randomized multicenter prospective trial. Eur Urol 75:300-309, 2019
- 28. Tran GN, Leapman MS, Nguyen HG, et al: Magnetic resonance imaging-ultrasound fusion biopsy during prostate cancer active surveillance. Eur Urol 72: 275-281, 2017

....

29. Salami SS, Hovelson DH, Kaplan JB, et al: Transcriptomic heterogeneity in multifocal prostate cancer. JCI Insight 3:123468, 2018

#### **AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

#### 17-Gene Genomic Prostate Score Test Results in the Canary Prostate Active Surveillance Study (PASS) Cohort

The following represents disclosure information provided by authors of this manuscript. All relationships are considered compensated unless otherwise noted. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. Relationships may not relate to the subject matter of this manuscript. For more information about ASCO's conflict of interest policy, please refer www.asco.org/rwc or ascopubs.org/journal/jco/site/ifc.

Open Payments is a public database containing information reported by companies about payments made to US-licensed physicians (Open Payments).

#### Daniel W. Lin

Consulting or Advisory Role: Astellas Pharma, Clovis Oncology, Dendreon Research Funding: Genomic Health (Inst), GenomeDx (Inst), MDxHealth (Inst), Magforce

#### Yingye Zheng Research Funding: Genomic Health (Inst)

Ruixiao Lu

#### Employment: Genomic Health, Exact Sciences Stock and Other Ownership Interests: Genomic Health, Exact Sciences Consulting or Advisory Role: Mission Bio Patents, Royalties, Other Intellectual Property: Patent co-inventor on 17-gene

prostate assay with Genomic Health

#### Michael Crager

Employment: Exact Sciences Stock and Other Ownership Interests: Exact Sciences Travel, Accommodations, Expenses: Genomic Health

## Hilary Boyer

Research Funding: Genomic Health (Inst)

#### Atreya Dash

Research Funding: Intuitive Surgical (Inst) Travel, Accommodations, Expenses: Intuitive Surgical

#### Michael D. Fabrizio

Stock and Other Ownership Interests: Specialty Networks, InTouch Health Consulting or Advisory Role: Dendreon, Teleflex Medical, Karl Storz, Specialty Networks, Clovis Oncology

Speakers' Bureau: Astellas Pharma, Janssen, Pfizer, Merck, Bayer Travel, Accommodations, Expenses: Astellas Pharma Other Relationship: Sage Mountain Global/The Atlantic Clinic

#### Martin E. Gleave

Stock and Other Ownership Interests: OncoGenex Honoraria: Janssen, Astellas Pharma, Bayer, Sanofi, GDx, Pfizer Consulting or Advisory Role: Janssen, Astellas Pharma, Bayer, Sanofi, AstraZeneca, GDx, Pfizer Research Funding: Janssen, Astellas Pharma, Bayer

Patents, Royalties, Other Intellectual Property: OncoGenex OGX-011, OGX-427, ST-CP, ST-POP

#### Suzanne Kolb

Research Funding: Genomic Health (Inst)

#### Michael Liss

Research Funding: MicrogenDx (Inst)

#### Todd M. Morgan

Consulting or Advisory Role: Myriad Genetics, TerumoBCT Research Funding: Myriad Genetics (Inst), MDxHealth (Inst), GenomeDx (Inst)

#### Ian M. Thompson

Consulting or Advisory Role: Magforce, Profound Medical Patents, Royalties, Other Intellectual Property: I have several patents with colleagues involving novel biomarkers for cancer and 2 devices for sexual dysfunction and urinary incontinence. No revenues at this time and our university IP office is working with industry to determine if these can be commercialized

#### Andrew A. Wagner

Stock and Other Ownership Interests: Bristol-Myers Squibb, Genentech Consulting or Advisory Role: Advance Medical

#### Athanasios Tsiatis

Employment: Plexxikon, Genomic Health Stock and Other Ownership Interests: Genomic Health, Plexxikon Patents, Royalties, Other Intellectual Property: Genomic Health-Proprietary Travel, Accommodations, Expenses: Genomic Health, Plexxikon

#### Andrea Pingitore

Employment: Exact Sciences Stock and Other Ownership Interests: Exact Sciences Travel, Accommodations, Expenses: Exact Sciences

#### Peter S. Nelson

Consulting or Advisory Role: Janssen Oncology, Astellas Pharma, Genentech, Bristol-Myers Squibb Research Funding: Genomic Health (Inst) Expert Testimony: Veneble-Fitzpatrick Law Firm Travel, Accommodations, Expenses: Janssen Oncology

#### Lisa F. Newcomb

Research Funding: Geomic Health (Inst)

No other potential conflicts of interest were reported.